SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* **LU KEH SHEW** (Last)　　(First)　　(Middle) **4949 HEDGCOXE ROAD** **SUITE 200** (Street) **PLANO**　　**TX**　　**75024** (City)　　(State)　　(Zip)	2. Issuer Name **and** Ticker or Trading Symbol **DIODES INC /DEL/** [DIOD] 3. Date of Earliest Transaction (Month/Day/Year) 11/21/2017 4. If Amendment, Date of Original Filed (Month/Day/Year)	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X　Director　　　　　　10% Owner X　Officer (give title　　Other (specify below)　　　　　below) 　　President & CEO 6. Individual or Joint/Group Filing (Check Applicable Line) X　Form filed by One Reporting Person 　　Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Diodes Incorporated Common Stock	11/21/2017		J[(1)]		30,000	D	$0.00	1,044,354	D	
Diodes Incorporated Common Stock	11/21/2017		J[(1)]		30,000	A	$0.00	30,000	I	The Lu Family Foundation
Diodes Incorporated Common Stock								46,150	I	Custodial
Diodes Incorporated Common Stock								476,783	I	Lu Grandchildren's Trust
Diodes Incorporated Common Stock - Performance Stock Units								62,905	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. These shares were transferred from the reporting person's direct holdings to The Lu Family Foundation, an indirect holding.

Remarks:

Richard D. White as Power of Attorney for Keh-Shew Lu	11/21/2017
** Signature of Reporting Person	Date